

University of Maryland College Park Expectations and Responsibilities for Faculty Members

As Maryland's Flagship university, the University of Maryland, College Park (UMD) is committed to "develop and disseminate knowledge in an environment that fosters intellectual discourse, free speech, diversity, inclusion, freedom from violence, and mutual respect." Further, as the Flagship campus with a land grant mission, "UMD strives to provide exceptional and affordable instruction for Maryland's most promising students. As a pre-eminent locus of scholarship, the University builds and maintains a world-class capacity in the sciences, arts and humanities, and extension activity to support ground-breaking discoveries that address the most pressing global challenges and inspire the human imagination. As one of the country's first land-grant institutions, UMD uses its research, educational, cultural, and technological strengths in partnership with state, federal, private, and non-profit sectors to promote economic development and improve quality of life in the State of Maryland."²

UMD faculty, staff, and students contribute to and benefit from UMD's pursuit of excellence, land grant mission, innovation, and ingenuity. The diversity of scholarship, community, and experiences enrich our campus community, and it is the responsibility of UMD faculty, students, and staff to promote and model a respectful, inclusive, and positive environment and climate. Employees of UMD bear particular responsibility to maintain and model the highest standards of ethics and conduct, as articulated in the UMD Principles of Ethical and Responsible Conduct.³

All members of the faculty⁴ have the rights and responsibilities of <u>academic freedom</u> and <u>freedom of speech</u>. They have the right to due process, and retain civil and other rights afforded to them by the U.S. Constitution, federal and state laws and regulations, and University System of Maryland (USM) and UMD policies. In addition to these rights, faculty members have particular responsibilities and expectations as representatives of and leaders within the UMD community and the USM, as well as employees of the state of Maryland. These expectations and responsibilities are documented in a range of federal and state laws and regulations, USM policies, UMD policies adopted through its shared governance process executed with the <u>University Senate</u>,⁵ and through UMD aspirational statements. The following **UMD Faculty Expectations and Responsibilities** aggregation

¹ University Senate Resolution Reaffirming Core Values and Principles.

² University Mission, as approved by the Maryland Higher Education Commission, August 1, 2018.

³ **UMD Principles of Ethical and Responsible Conduct.** Division of Administration and Finance, University of Maryland College Park. Available at: https://vpaf.umd.edu/responsibleconduct.

⁴ All individuals who hold UMD faculty <u>titles</u> (Tenure/tenure track; Professional Track; and Permanent Status) are included in the faculty and referenced by the phrase "faculty member."

⁵ Faculty members should become familiar with USM and UMD faculty-related policies found on the President's website, particularly sections II, (Faculty), III (Academic Affairs), and IV (Research).

provides a concise guide for faculty members in fulfilling their research, scholarship, and/or creative activities; instructional activities; service activities; and state employee roles. These expectations fall broadly in the areas of engagement, professionalism, integrity, and compliance, expanded on below.

Engagement

- Faculty members have a responsibility to nourish a climate of intellectual growth, foster curiosity, advance knowledge, mentor, and provide outstanding and innovative learning experiences.
- Faculty members enjoy the rights that academic freedom affords them, and therefore are expected to embrace the responsibilities that academic freedom carries in meeting their instructional, research, creative activities, service, and public engagement pursuits.
- As stewards of the University and their academic units, faculty members are expected to participate actively in their units, engage in shared governance as appropriate, and remain informed of relevant unit, UMD, and USM policies and procedures.

Professionalism

- Faculty members are expected to fulfill and meet their essential duties of research, creative activities, instruction, and service.
- Faculty members are responsible for cultivating a respectful and inclusive instructional and work environment.
 - Faculty members are expected to establish and maintain a safe work environment that is free of discrimination and harassment, engage in civil behavior, and treat everyone including colleagues, students, staff, administrators, and others, with respect, courtesy, and dignity. This expectation applies whenever a faculty member is engaged in professional activities on and off campus (e.g., at conferences, research centers, other institutions, etc.) and all forms of communication (e.g., email, social media) related to the faculty member's UMD affiliation. Faculty members are expected to respond appropriately to misconduct on the part of others within the UMD community.
- Faculty members are expected to model and exhibit professional conduct.
 - The University is a professional work environment and as such faculty are expected to engage in professional behavior in conducting their activities, such as timely and professional communication with students, colleagues, staff and administrators; timely completion of assigned workload; and respectful and open deliberation and discourse without reprisal or retaliation.

Integrity

- Faculty members are expected to engage in their essential duties (research, scholarship, creative activities, instruction, and service) with the highest standards of integrity, responsibility, excellence, and within the accepted practices and norms of their field(s) and profession(s).
- Faculty members are expected to engage responsibly in their instructional, research, and service activities and assignments.
 - Faculty members are expected to avoid any conflicts of interest and/or commitment; to ensure compliance with any responsible conduct of research requirements (e.g., research restrictions, export controls, intellectual property, lab safety, animal and/or human subjects protocols, or other requirements); create and sustain a classroom environment conducive for optimal learning; and to ensure the timely dispatch of required deliverables (e.g., submission of grades, annual Outside Professional Activities reporting).

Compliance

- Faculty members are expected to participate in and comply with all review processes (e.g., annual, promotion and tenure, PTK promotion, permanent status, merit, post-tenure) as required per USM, UMD, College, and Unit policies.
- Faculty members are expected to maintain confidentiality of procedures, proceedings, personnel matters, student records, and other processes that depend on confidentiality.

Relevant Policies and Documents

The above expectations and responsibilities for faculty members are derived from the below USM and UMD policies and documents, as well as state of Maryland regulations and laws.

Engagement and Professionalism

- University of Maryland College Park Mission Statement
- University of Maryland Fearlessly Forward Strategic Plan
- Maryland Code of Conduct
- II-1.25(A) University of Maryland Policy on Workload and Responsibilities for Tenured, Tenure-Track, Permanent Status, and Permanent Status Track Faculty
- II-2.31(A) University of Maryland Policy on Family and Medical Leave for Faculty
- <u>II-3.10(D) University of Maryland Policy on Consensual Relationships Between Faculty and Students</u>

- VI-1.05 BOR Policy of Non-Discrimination on the Basis of Sexual Orientation and Gender Identity or Expression
- VI-1.10 BOR Policy on Acts of Violence and Extremism
- VI-1.00(B) University of Maryland Non-Discrimination Policy and Procedures
- VI-1.00(C) University of Maryland, College Park Policy on Inclusive Language
- VI-1.60(A) University of Maryland Sexual Misconduct Policy and Procedures
- VI-8.00(A) University of Maryland, College Park Policy on Employee Alcohol and Other Drug Abuse
- VI-1.00(B) University of Maryland Non-Discrimination Policy and Procedures
- VI-1.05 BOR Policy of Non-Discrimination on the Basis of Sexual Orientation and Gender Identity or Expression
- <u>II-4.00(A) University of Maryland, College Park Policies and Procedures Governing Faculty Grievances</u>
- VI-1.10 BOR Policy on Acts of Violence and Extremism
- VI-1.60(A) University of Maryland Sexual Misconduct Policy and Procedures
- VI-8.00(D) University of Maryland, College Park Policy on Alcohol-Related Advertising, Promotions, or Events
- VII-11.00(A) University of Maryland Faculty and Staff Workplace Violence Reporting and Risk Assessment Procedures
- VIII-7.10(A) University of Maryland Procedures on Reporting Suspected or Known Fiscal Irregularities
- VIII-7.11 BOR University of Maryland Policy on the Communication of Suspected Fraud, Unethical and Illegal Business Activity

Integrity

- <u>II-3.10(A) University of Maryland Policy on Conflict of Interest and Conflict of Commitment</u>
- <u>II-3.10(B) University of Maryland Procedures on Conflict of Interest and Conflict of</u>
 Commitment
- III-1.00 BOR Policy on Faculty, Student and Institutional Rights and Responsibilities for Academic Integrity
- III-1.10(A) University of Maryland Procedures for Scholarly Misconduct
- III-1.00(C) University of Maryland, College Park Policy on the Sale of Instructional Materials in the Classroom
- III-1.00(B) University of Maryland, College Park Policy Concerning the Use of Self-Authored Course Materials
- IV-3.20(A) University of Maryland Policy on Intellectual Property
- VI-5.00(A) University of Maryland, College Park Guidelines and Procedures Governing the Inspection of Public Records
- VI-22.00(A) University of Maryland Policy on Institutional Data Management
- VI-25.00(A) University of Maryland Policy on Gramm-Leach-Bliley Act Information Security <u>Program</u>
- VI-26.00(A) University of Maryland Policy on the Collection, Use and Protection of ID Numbers
- <u>VII-2.10 BOR Policy on Employment of Members of the Same Family</u>
- VII-2.20 BOR Policy on Soliciting Personnel During Working Hours
- VIII-3.10(A) University of Maryland Procurement Policy

- VIII-3.10(B) University of Maryland Policy and Procedures for Delegated Purchasing Authority
- IX-5.00 Policy of the Board of Regents on Ethical Practices in Charitable Giving
- Responsible Conduct of Research

Compliance

- VI-4.20 BOR Guidelines Regarding the Effect of Donor Funding and Other External Funding on the Prioritizing of State-Funded Capital Projects
- VI-24.00(A) University of Maryland Policy on Compliance with the Health Insurance Portability and Accountability Act
- VIII-6.00(D) University of Maryland, College Park Policy and Procedures Concerning Food Purchases for Purposes Other Than Employee Travel and Resale (Business Meals)
- <u>VII-6.02 BOR Policy on Personnel Files for Non-exempt and Exempt Staff Employees</u>
- VIII-1.20(A) University of Maryland, College Park Policy and Procedures for the Acquisition and Disposal of University Surplus Property
- VIII-1.30(A) University of Maryland, College Park Procedures for Withdrawal of Funds From Bank Accounts
- VIII-3.10(A) University of Maryland Procurement Policy
- VIII-3.10(B) University of Maryland Policy and Procedures for Delegated Purchasing Authority
- VIII-6.00(D) University of Maryland, College Park Policy and Procedures Concerning Food Purchases for Purposes Other Than Employee Travel and Resale (Business Meals)
- VIII-6.00(E) University of Maryland, College Park Policy and Procedures Concerning Petty Cash Funds
- VIII-9.00(A) University of Maryland, College Park Policy and Procedures Concerning Education and General Designated Accounts ("Revolving Funds")
- VIII-10.40(A) University of Maryland, College Park Policy for Direct Charging of Costs to Federal Grants, Contracts and Cooperative Agreements
- VIII-11.00 BOR Policy on University System Travel
- VIII-11.10 BOR Schedule of Reimbursement Rates
- IX-3.00 BOR Policy on Private Fundraising and Stewardship
- X-1.00(A) University of Maryland Policy on the Acceptable Use of Information Technology Resources
- X-3.00(A) University of Maryland, College Park Policies and Procedures Concerning Telephone System Usage
- Travel Services, Department of Business Services
- University of Maryland, College Park Records Retention and Disposal Schedule